

A Deeper Dive into Risk, Need & Responsivity

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Risk Principle

- Not necessarily a risk for violence or dangerousness
- Difficult prognosis or lesser amenability to treatment
- The higher the risk level, the more intensive the supervision and accountability should be, and vice versa
- Mixing risk levels is contraindicated

Prognostic Risk Factors

- Current age < 25 years
- Delinquency onset < 16 years
- Substance use onset < 14 years
- Prior rehabilitation failures
- Prior incarceration
- History of violence
- Antisocial Personality Disorder or Psychopathy
- Familial history of crime or addiction
- Criminal or substance use associations

Need Principle

- Clinical syndromes or impairments (diagnosis)
- Cause crime (“criminogenic”) or interfere with rehabilitation (“responsivity”)
- Addiction is criminogenic and serious mental illness interferes with response to rehabilitation
- The higher the need level, the more intensive the treatment or rehabilitation services should be, and vice versa
- Mixing need levels is contraindicated

Risk & Needs Matrix

	High Risk	Low Risk
High Needs	<ul style="list-style-type: none">• Supervision• Treatment Courts (e.g., Drug Courts)• Adaptive Rehabilitation	<ul style="list-style-type: none">• Treatment• Adaptive Rehabilitation
Low Needs	<ul style="list-style-type: none">• Intensive Probation (ISP, HOPE)	<ul style="list-style-type: none">• Deflection; Banked probation

Shaping Behavior

- **Don't expect too much**
 - Learned helplessness, ratio burden, ceiling effects
- **Don't expect too little**
 - Habituation, complacency
- **Proximal vs. distal vs. mastered goals**
- **Phase specificity**
 - What was once distal becomes proximal and is eventually mastered

Treat or Punish?

Substance Dependence or Addiction

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- 1. Triggered binge pattern**
- 2. Cravings or compulsions**
- 3. Withdrawal symptoms**

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Abstinence is a distal goal

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Abstinence is a distal goal

Substance Misuse

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Abstinence is a distal goal

Substance Misuse



Abstinence is a proximal goal

Treat or Punish?

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Abstinence is a distal goal

Substance Misuse



Abstinence is a proximal goal

Collateral needs

- Dual diagnosis
- Chronic medical condition (e.g., HIV+, HCV, diabetes)
- Homelessness, chronic unemployment

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Substance Dependence or Addiction

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Abstinence is a distal goal

Substance Misuse



Abstinence is a proximal goal

Collateral needs



Regimen compliance is proximal

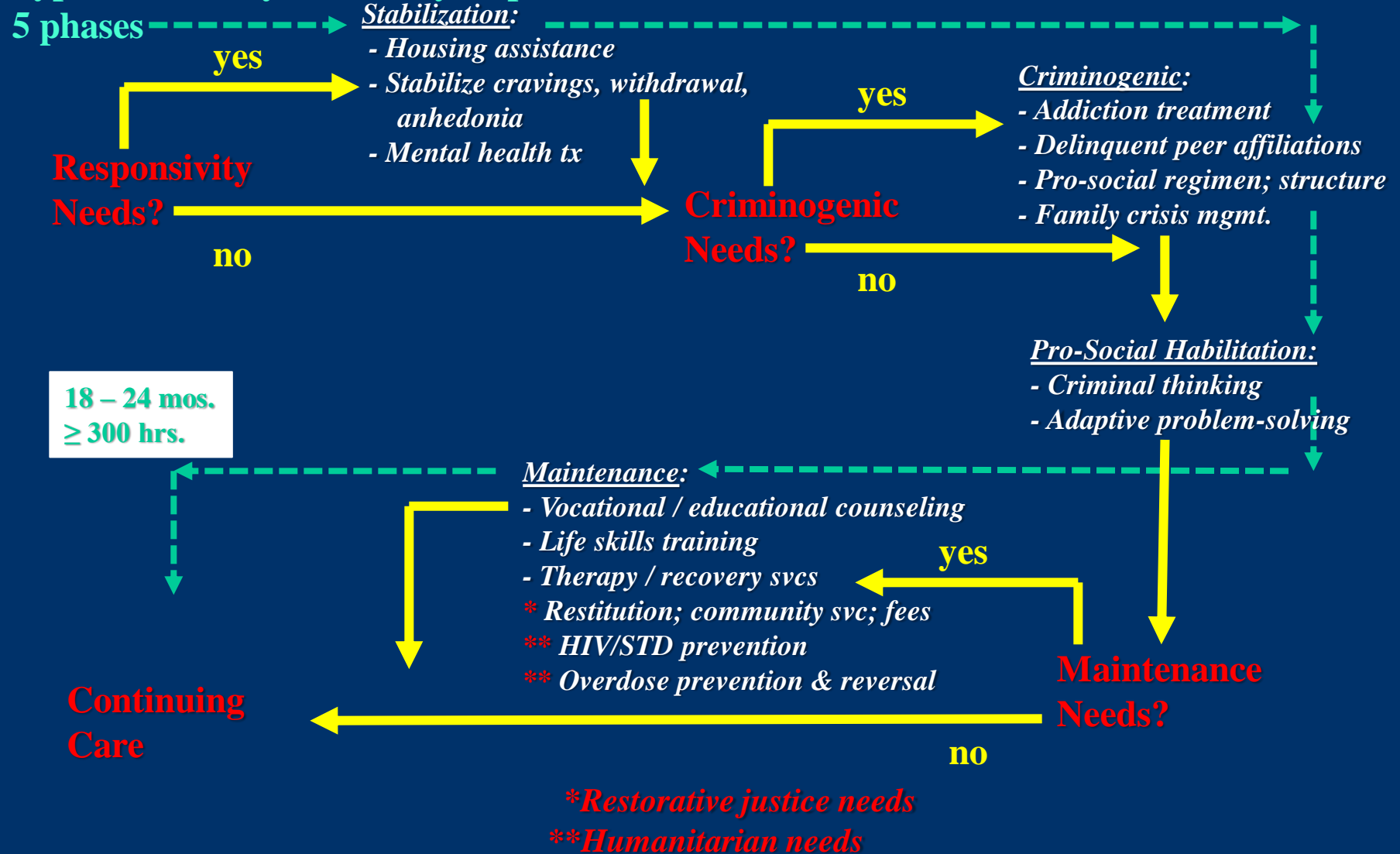
- Dual diagnosis
- Chronic medical condition (e.g., HIV+, HCV, diabetes)
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Specific Responsivity

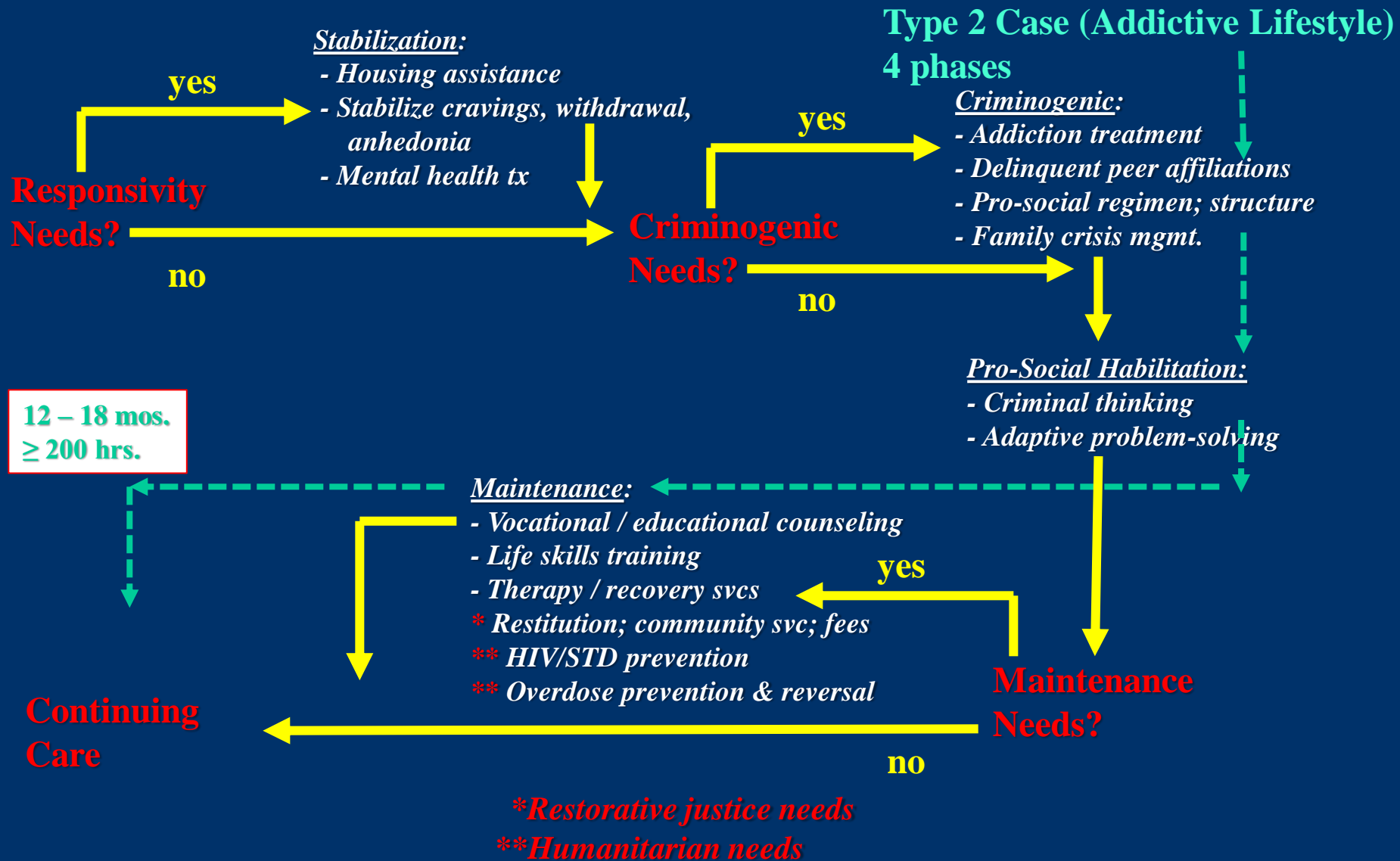
- Order and timing of intervention is crucial:
 1. Responsivity needs — interfere with rehabilitation
 2. Criminogenic needs — cause or exacerbate crime
 3. Maintenance needs — degrade rehabilitation gains
 4. Restorative needs — aid community reintegration
 5. Non-exigent humanitarian needs — cause distress
- Continuing-care plan to address unmet needs
- Each phase advancement increases the odds of subsequent phase advancements and vice versa

Case Planning

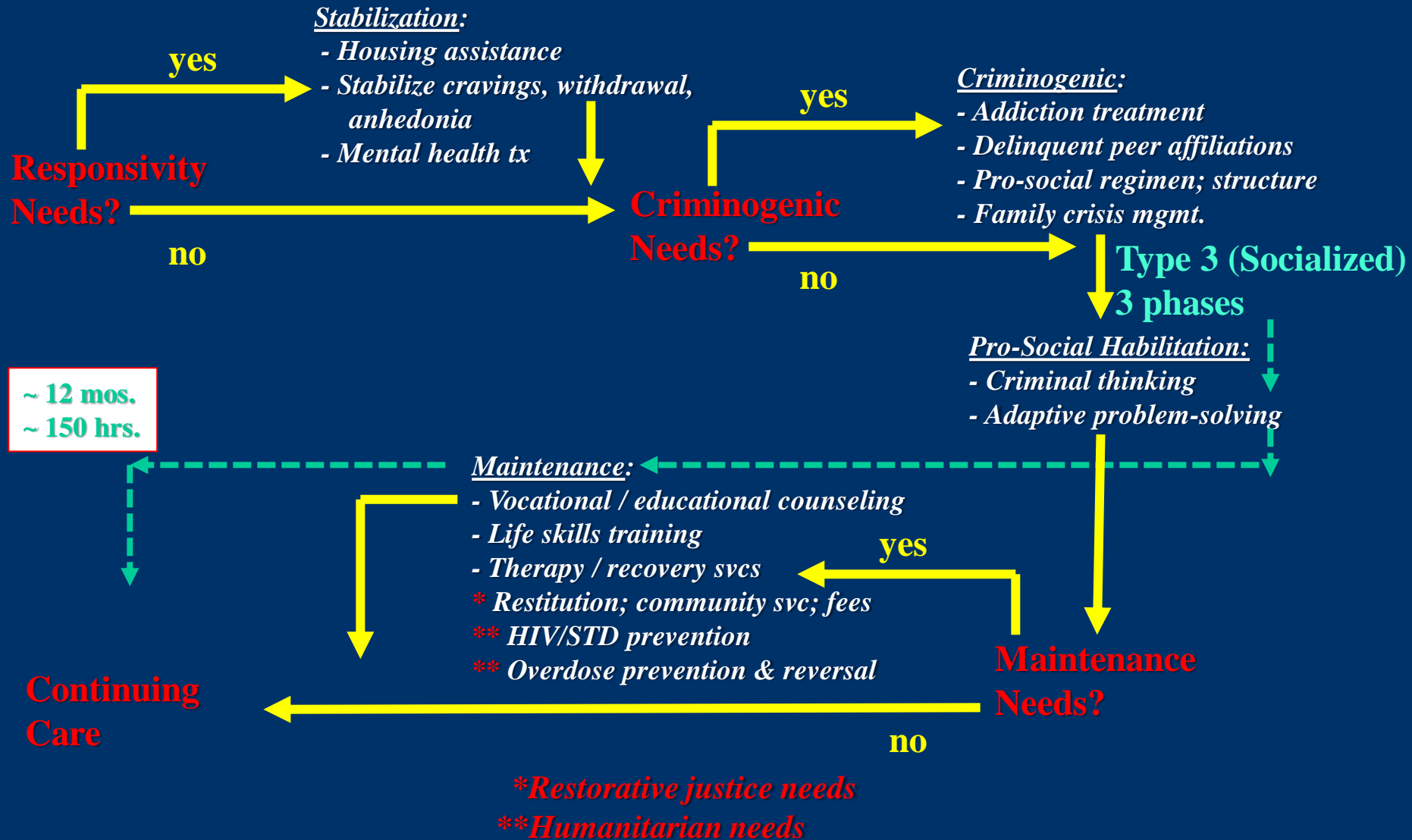
Type 1 Case (Psychosocially Impaired)



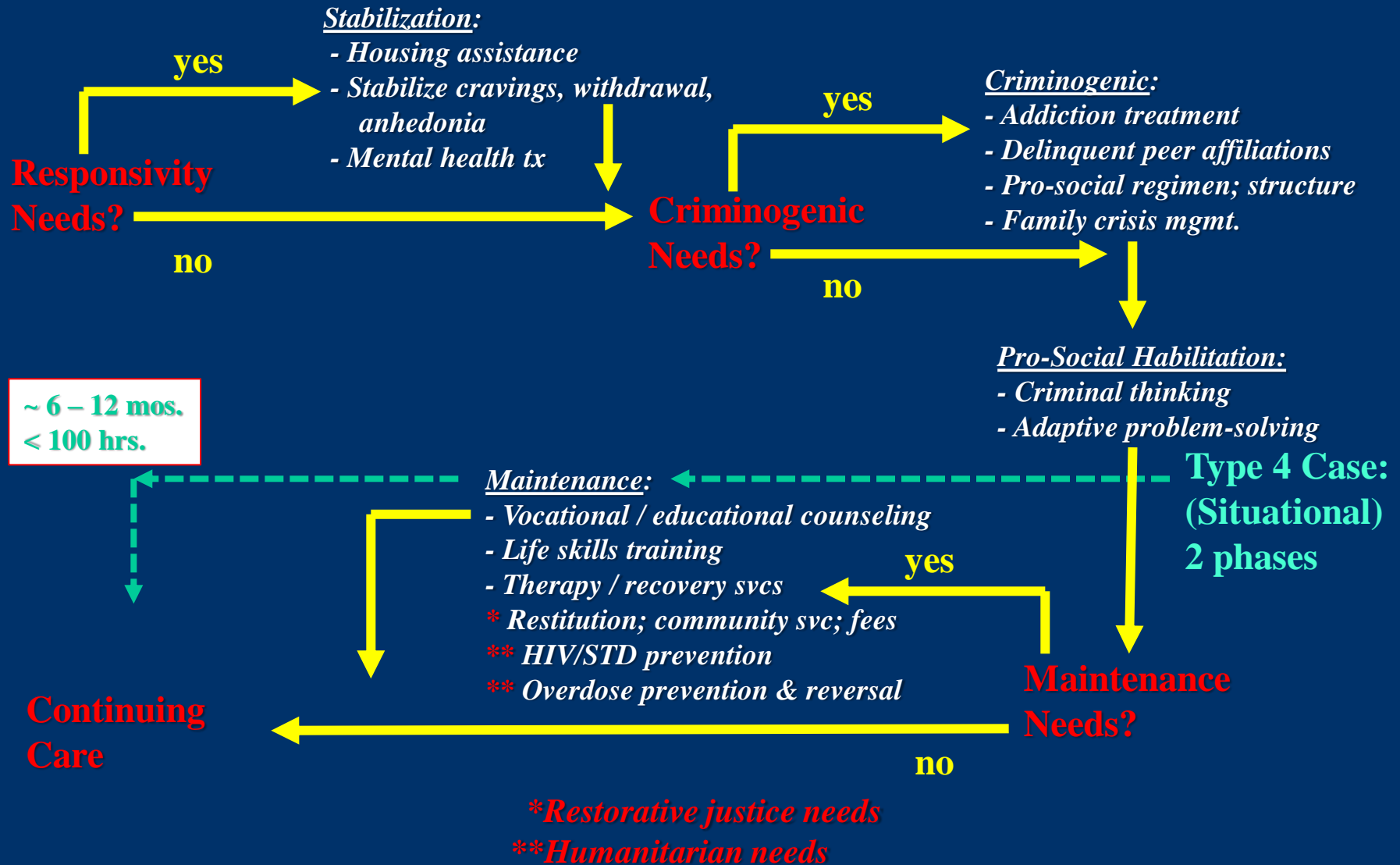
Case Planning



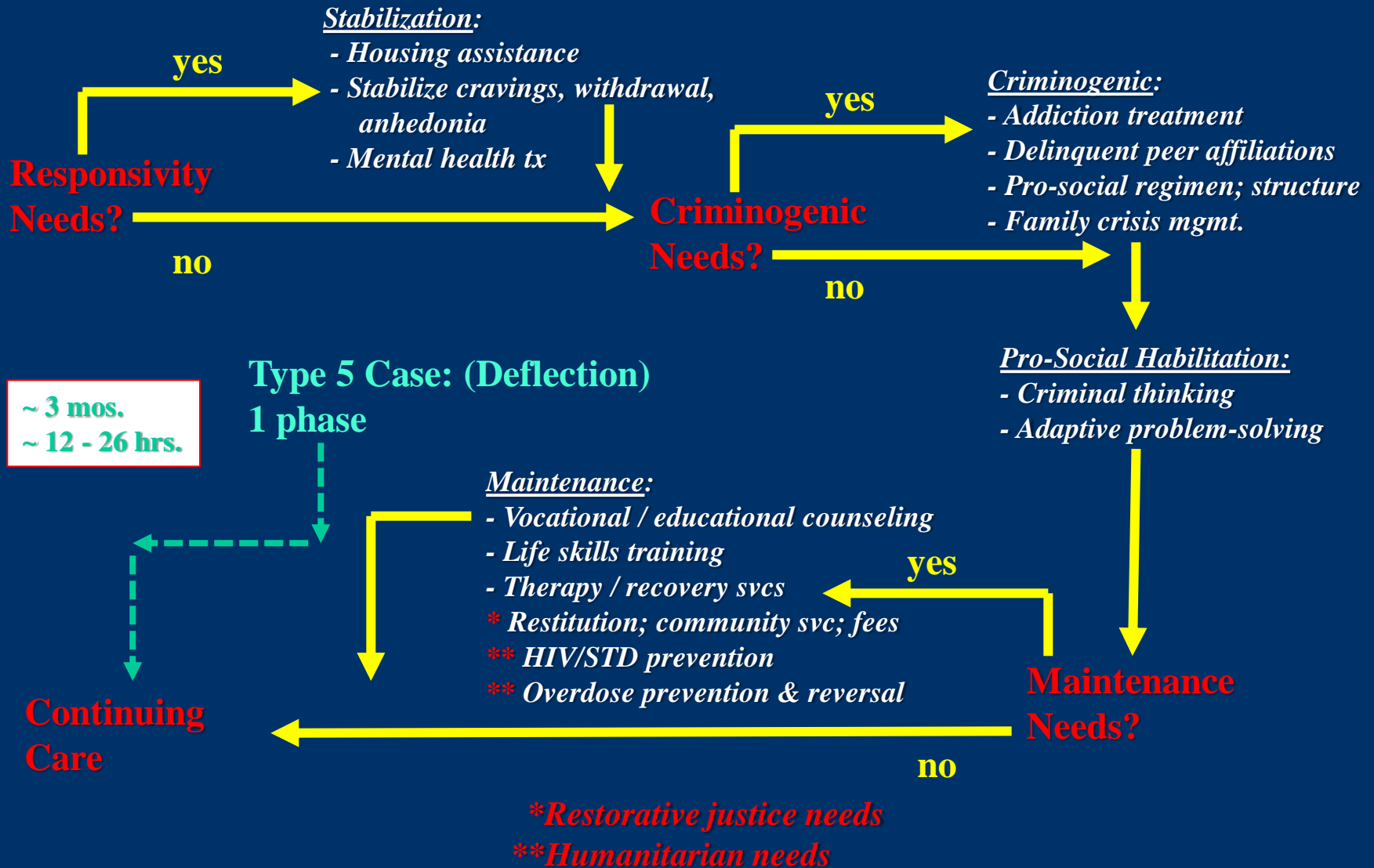
Case Planning



Case Planning



Case Planning



Phase Demotion

- Often a sign that services were withdrawn prematurely
- Temporary regression and remedial plan (accelerated redemption)
- Avoid the Abstinence Violation Effect (A.V.E.)